

**DECLARATION FOR PATENT APPLICATION** 

COPY OF PAPERS ORIGINALLY FILED

Claimed

As a seller named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

# Doped Elongated Semiconductors, Growing Such Semiconductors, Devices Including Such Semiconductors And Fabricating Such Devices

the specification of which is attached hereto unless the following is checked:

[X] was filed on August 22, 2001, as Application No. 09/935,776.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, \$119(a)-(d) or \$365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a),(b): Priority

(Number) (Country-if PCT, so indicate) (DD/MM/YY Filed) [] [] YES NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

08/22/00
(filing date)
05/18/01
(filing date)
12/11/00
(filing date)
05/18/01
(filing date)
05/18/01
(filing date)
05/18/01
(filing date)

Neil P. Ferraro

Thomas G. Field

Stephen R. Finch

Edward R. Gates

Richard F. Giunta

Peter J. Gordon

John C. Gorecki

ľ

39,188

45,596

42,534

31,616

36,149

35,164

38,471

Peter C. Lando

Helen C. Lockhart

Matthew B. Lowrie

James H. Morris

William R. McClellan

Daniel P. McLoughlin

M. Lawrence Oliverio

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application No	(Application No.)		ng date)		(status-patented, pending, aba	ndoned)
PCT International A	pplications	designating th	e United Stat	es:		
(PCT Appl. No.)	(U.S. Ser. No.)		T filing date)	(sta	tus-patented,pending,abandone	i)
I hereby appoint the transact all business					rosecute this application d therewith:	and to
Robert M. Abrahamsen	40,886	Jason M. Honey	/man 3	1,624	Stanley Sacks	19.900
ohn N. Anastasi	37,765	Robert E. Hunt	3	9,231	Christopher S. Schultz	37,929
Gary S. Engelson	35,128	Ronald J. Krans	dorf 2	0,004	Alan B. Sherr	42,147

34,654

39,248

38,228

29,409

46,066

34,681

30,915

Robert A. Skrivanek, Jr.

John R. Van Amsterdam

Paul D. Sorkin

Alan W. Steele

Mark Steinberg

Joseph Teja, Jr.

Michael G. Verga

41,316

39,039

45,128

40,828

45,157

40,212

39,410

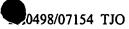
William G. Gosz	27,787	Timothy J. Oyer	36,628	Robert H. Walat	46,324
Lawrence M. Green	29,384	Edward F. Perlman	28,105	Lisa E. Winsor	44,405
George L. Greenfield	17,756	Michael J. Pomianek	46,190	David Wolf	17,528
James M. Hanifin, Jr.	39,213	Elizabeth R. Plumer	36,637	Douglas R. Wolf	36,971
Therese A. Hendricks	30,389	Randy J. Pritzker	35,986	Ivan D. Zitkovsky	37,482
Steven J. Henry	27,900	Robert E. Rigby, Jr.	36,904	Edward J. Russavage	43,069
					,

Address all telephone calls to Timothy J. Oyer at telephone no. (617) 720-3500. Address all correspondence to:

Timothy J. Oyer c/o Wolf, Greenfield & Sacks, P.C., Federal Reserve Plaza 600 Atlantic Avenue Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Serial No. 09/935,776



Charl M. Zul

Inventor's signature Full name of first joint inventor: Citizenship: Residence: Post Office Address:

Charles M. Lieber United States 27 Hayes Avenue, Lexington, MA 02173 Same as above

Inventor's signature Full name of second joint inventor: Citizenship: Residence: Post Office Address:

Yi Cui Peoples Republic of China 94 Franlkin Street, Allston, MA 02134 Same as above

11/13/2001

Inventor's signature Full name of fifth joint inventor: Citizenship: Residence: Post Office Address: Xiangfeng Duan Peoples Republic of China 12 Oxford St., #47, Cambridge, MA 02138 Same as above

1 / 13/2001 Date

Inventor's signature Full name of fifth joint inventor: Citizenship: Residence: Post Office Address:

Yu Huang Peoples Republic of China 12 Oxford Street #343, Cambridge, MA 02138 Same as above

Date

#### Attorney's Docket No. H00498/70154 TJO

Applicant:Charles M. Lieber et al.Serial No.:09/935,776Filed:August 22, 2001For:DOPED ELONGATED SEMICONDUCTORS, GROWING SUCH<br/>SEMICONDUCTORS, DEVICES INCLUDING SUCH SEMICONDUCTORS<br/>AND FABRICATING SUCH DEVICES

#### STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(e) and 1.27(d) - NONPROFIT ORGANIZATION)

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION:	President and Fellows of Harvard College
ADDRESS OF ORGANIZATION:	17 Quincy Street
	Cambridge, MA 02138

### TYPE OF ORGANIZATION: UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code with regard to the invention DOPED ELONGATED SEMICONDUCTORS, GROWING SUCH SEMICONDUCTORS, DEVICES INCLUDING SUCH SEMICONDUCTORS AND FABRICATING SUCH DEVICES by inventor(s) Charles M. Lieber et al., described in application serial no. 09/935,776, filed 08/22/01.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

## LIST OF OTHER CONCERNS HAVING RIGHTS IN THE INVENTION

If the rights held by the small business or nonprofit organization or individual inventor are not exclusive, each additional individual concern or organization having rights to the invention is listed below. (If your rights are exclusive, then no such person, concern or organization should be selected).

- [] no such person, concern or organization
- [X] persons, concerns or organizations listed below\*

\*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

H00498/70154 TJO

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FULL NAMENanosys, Inc.ADDRESS3663 Lone Dove Lane, Olivenhain, CA 92024

[] INDIVIDUAL [X] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

The concern or inventor identified above acknowledges the duty to notify the U.S. PTO of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

PRINTED NAME OF PERSON SIGNING: TITLE OF PERSON (if other than owner): ADDRESS OF PERSON SIGNING:

Joyce Brinton, Director Office for Technology and Trademark Licensing Harvard University

Date





OPY OF PAPERS

Attorney's Docket No. H00498/70154 TJO

Applicant:Charles M. Lieber et al.Serial No.:09/935,776Filed:August 22, 2001For:DOPED ELONGATED SEMICONDUCTORS, GROWING SUCH<br/>SEMICONDUCTORS, DEVICES INCLUDING SUCH SEMICONDUCTORS

AND FABRICATING SUCH DEVICES

## STATEMENT CLAIMING SMALL ENTITY STATUS SMALL BUSINESS CONCERN

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION:	Nanosys, Inc.
ADDRESS OF ORGANIZATION:	3663 Lone Dove Lane
	Encinitas, CA 92024

The small business concern identified below qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern with regard to the above-identified invention, DOPED ELONGATED SEMICONDUCTORS, GROWING SUCH SEMICONDUCTORS, DEVICES INCLUDING SUCH SEMICONDUCTORS AND FABRICATING SUCH DEVICES, by Charles M. Lieber et al., described in U.S. Patent Application Serial No. 09/935,776, filed 08/22/01.

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- [] no such person, concern or organization
- [X] persons, concerns or organizations listed below\*

\*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

FULL NAMEPresident and Fellows of Harvard CollegeADDRESS17 Quincy Street, Cambridge, MA 02138

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PRINTED NAME OF PERSON SIGNING: TITLE OF PERSON (if other than owner): ADDRESS OF PERSON SIGNING:

LAWRENCE bock LONE ENCINITAS

Signature

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Date